

REPORT TO THE TWENTY-SECOND LEGISLATURE

STATE OF HAWAII

2003

**PURSUANT TO ACT 259, SECTION 25,
2001 SESSION LAWS HAWAII
REGARDING MATERNAL AND CHILD HEALTH SERVICES
AND CONTRACT SERVICES FOR EARLY IDENTIFICATION
AND HOME VISITING SERVICES TO SUPPORT
HEALTHY START PROGRAM**

**PREPARED BY:
STATE OF HAWAII
DEPARTMENT OF HEALTH
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SUMMARY OF HEALTHY START'S HOME VISITING SERVICES AND EXPENDITURES
ACT 259, SECTION 25

HEALTHY START

Hawaii's Healthy Start began as a demonstration child abuse prevention project in July 1985 at one location on Oahu. Today, Healthy Start is statewide at nineteen sites. Hawaii's Healthy Start also served as a model service program for the prevention of child abuse and neglect on the mainland being replicated as Healthy Families America in over three hundred sites. In 1986 Congress recognized the "urgent and substantial need" to minimize cost and unsatisfactory outcomes for individuals with disabilities by enhancing services and capacity. Revised in 1997, the Individuals with Disabilities Education Act (IDEA) Part C funds are to be used to enhance early intervention services for children, age birth to three years, and their families. In Hawaii, the Department of Health is the responsible agency. With the additional Felix vs. Cayetano Consent Decree requirements and challenges to families brought on by welfare reform, Healthy Start added two professional positions to support the para professional home visitors: Child Development Specialist and Clinical Specialist.

Healthy Start has two major components: 1) The Early Identification component provides statewide, universal population-based screening and assessment to identify at-risk families birthing in civilian hospitals; and, 2) The Home Visiting component provides intensive, long-term home visiting by trained para professionals to improve family functioning, promote child health and development, enhance positive parenting skills, and prevent child maltreatment.

Workers in the Early Identification component use a two-stage screening and assessment protocol. Screening is either a medical records review (depending on formal consent) or face-to-face interview. If the screen is negative, the family is considered not at-risk. If the screen is positive or contains too little information to make a determination, a face-to-face assessment interview is conducted using Henry Kempe's Family Stress Checklist. If either parent scores thirty or over, the family is considered at risk and eligible for home visiting. Approximately one-third of all assessed families are found to be at-risk.

Early Identification staff refers at-risk families to the Home Visiting component. Home Visiting services are voluntary and intended to continue until the child reaches three years of age. Home visitors are trained para professionals working under professional supervision. Home visiting includes long term and intensive direct services as well as linkage with community resources, including a medical home for the child. Direct service include screens and assessment in developmental delays, teaching about child development (including a safe and healthy home), parenting skills and problem solving techniques, providing informed support and advocacy for families, and, encouraging them to seek professional help for progress blocking issues such as substance abuse, maternal depression, and domestic violence. Direct service staff includes family support workers, child development specialists, clinical specialists, and clinical supervisors.

SUMMARY OF HEALTHY START'S HOME VISITING SERVICES AND EXPENDITURES
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General Fund Appropriation and Supplemental Funding

This report provides a summary of Healthy Start Home Visiting services, expenditures and projections for families and children served in Fiscal Year (FY) 2001, FY 2002 and FY 2003, as requested in Act 259, Section 25.

Service Variances

Home Visiting services were mandated by Felix vs. Cayetano Consent Decree in November 2000 to ensure that all eligible families were offered services. Fiscal Year 2001 Projections were based on FY 2000 pre-Felix rates when only sixty percent (60%) of the civilian births statewide were screened. Assessment rates were higher and discharge rates were lower. Consequently, the projected number of families served for FY 2001 was higher than the projections for FY 2002 and FY 2003. FY 2002 and 2003 projections were based on the actual rates of FY 2001. As expected, numbers of families and children served as well as Home Visiting contacts did increase as programs became fully operational [See Table II].

Monthly Home Visiting contacts are difficult to project because families may be at any one (1) of nine (9) service levels based on the family's progress in reaching program goals. For example, during the year a family may be at a variety of levels each requiring zero (0), one (1), two (2) or four (4) home visits per month. The other contacts vary widely depending on the family's needs and situation.

Fiscal

Home Visiting Expenditures by Month Using FAMIS Report -- FY 2001

The Expenditures by Month Using FAMIS report shows the liquidations and encumbrances as of June 2001 (for FY 2001). At year-end (06/30/01), less than one percent (\$59,592) of FY 2001's budget was unobligated. Two (2) FY 2001 contracts were extended beyond the original contract end date of June 30, 2001; Parents and Children Together (PACT) and Young Women's Christian Association (YWCA) of Hawaii Island. PACT was extended until August 31, 2001 using FY 2001 funding and YWCA of Hawaii Island was extended until September 30, 2001 using FY 2002 funding. FY 2001 contracts are now all closed as of June 2002.

Home Visiting Expenditures by Month Using FAMIS Report -- FY 2002

FY 2002 is the beginning of a 2-year contract period and there were no liquidations in the months of July and August 2001. During FY 2002, a total of \$9,574,094 or 65.7% of \$14,553,452 was disbursed. The remaining funds will be disbursed as invoices are received due to the lag in billings to services. All funds were encumbered and there are no unobligated balances.

SUMMARY OF HEALTHY START'S HOME VISITING SERVICES AND EXPENDITURES
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Home Visiting Expenditures by Month Using FAMIS Report From July to August 2002
and Projected Home Visiting Expenditures by Month from September 2002 to June 2003
-- FY 2003

For FY 2003, all POS home visiting services for FY 2003 are designated in their respective contracts and encumbered. July and August 2002 shows disbursements of \$273,248 and \$13,417, respectively, and the remaining ten months reflect projections.

Guide for Healthy Start Services

Home Visiting (HV) Services

1. Number of families projected for HV Services
 - a. Use “total families served” projections (carry-over plus newly admitted)). Do not use “active static caseload” projections as these include discharges, which will be subtracted at the end of the year to compute carry-over. For FY 2001 used rates from FY 2000 data.
 - b. For FY 2001, use projected total of new families referred minus 10% refusals for first four months of FY 2001, prior to Felix. Compute the last eight months of FY 2001 by dividing the total projected families referred for the entire year, divided by twelve and multiplied by eight months.
 - c. Add the total of the first four months and the total of the last eight months.
 - d. For FY 2002, use 41% discharge rate of FY 2001. However, FY 2001’s discharge rate may be inflated due to the discharge of all target children without a younger target child over three years old in FY 2001.
 - e. For FY 02, use actual carry-over from FY 01, add projected new families at 31% of Assessed (FY 01 rate)
 - f. For FY 03, use 41% discharge rate on FY01 total served to get carry-over. Add projected new families at 31% of Assessed (FY 01 rate)
2. Actual number of families provided home visiting services
 - a. Use “Number of Families and Target Children Active” report for FY 2001 for all three models, Healthy Start (HS), Expansion and PACT Hana Like (HL).
 - b. Total only carry-over from FY 2000-2001 plus newly admitted families.
3. Number of children projected for HV services.
 - a. Multiply number of families by 1.032. This is the FY2001 rate of children to families. (3162 divided by 3064=1.032)
4. Actual number of children provided HV services.
 - a. Use “Number of Families and Target Children Active” report for FY 2001 for all three models, HS, Expansion and HL.

Healthy Start Services
FY 2001
Act 259, Section 25

TABLE I: Fiscal Year 2001

	Jul-00		Aug-00		Sep-00		Oct-00		Nov-00		Dec-00		Jan-01		Feb-01		Mar-01		Apr-01		May-01		Jun-01			
Home Visiting Clients	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	PROJ TOTAL	ACTUAL TOTAL
Families Served	1679	1401	1806	1428	1933	1455	2060	1531	2188	1583	2315	1640	2442	1719	2569	1763	2697	1834	2824	1915	2951	2002	3078	1968	4,731	3,064
Children Served	1733	1515	1864	1532	1995	1558	2126	1641	2258	1700	2389	1738	2520	1816	2651	1851	2783	1943	2914	1990	3045	2067	3176	1992	4,882	3,162

Home Visiting Contacts*	July Actual	Aug Actual	Sept Actual	Oct Actual	Nov Actual	Dec Actual	Jan Actual	Feb Actual	Mar Actual	Apr Actual	May Actual	Jun Actual	Total Actual
Home/Outside	2961	2627	2959	3271	3433	3019	2223	2423	2051	2603	2506	2936	33,012
Attempted	1293	1115	1224	1342	1447	1295	825	1063	837	1054	1028	1034	13,557
Phone	2530	2334	2661	2934	2988	2756	1853	2170	1869	2164	2159	2015	28,433
Transports	147	146	171	219	209	196	114	135	116	189	151	119	1,912
Group	101	176	274	416	299	176	89	85	136	195	126	323	2,396
Other	471	561	653	656	743	627	321	331	343	361	463	462	5,992
Agency/Prof	873	853	834	951	942	870	489	645	536	727	672	686	9,078
Collateral	547	466	575	618	550	465	370	432	412	419	403	341	5,598
Scales	493	472	496	440	494	475	890	917	828	846	853	812	8,016

*Felix mandates took effect in November, 2000. Projections were based on 100% referrals to Home Visiting programs from November, 2001. Monthly Contacts are difficult to project because they are based on each family's need rather than an expected amount.

Healthy Start Services
FY 2002
Act 259, Section 25

TABLE II: Fiscal Year 2002

	Jul-01		Aug-01		Sep-01		Oct-01		Nov-01		Dec-01		Jan-02		Feb-02		Mar-02		Apr-02		May-02		Jun-02		Total	Total
Home Visiting Clients	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Project duplicate	Actual duplicate	Projected undup	Actual undup
Families Served	1966	1945	2002	2088	2038	2096	2074	2178	2109	2228	2145	2280	2181	2398	2217	2467	2252	2515	2288	2596	2324	2623	2359	2691	3,787*	4,247
Children Served	2029	2089	2066	2223	2103	2233	2140	2304	2176	2332	2214	2386	2251	2514	2288	2586	2324	2630	2361	2712	2398	2746	2434	2817	3,908	4,540

	Jul Actual	Aug Actual	Sept Actual	Oct Actual	Nov Actual	Dec Actual	Jan Actual	Feb Actual	Mar Actual	Apr Actual	May Actual	Jun Actual	TOTAL Actual
Home Visiting Contacts**													
Home/Outside	3386	3708	3552	4087	3731	3661	4285	3880	3772	4172	3939	3452	45,625
Attempted	1530	1726	1584	1802	1715	1661	1846	1898	1886	2108	2220	1811	21,787
Phone	3192	3367	3125	3594	3216	3085	3645	3504	3526	4143	3790	3402	41,589
Transports	200	239	204	258	188	237	230	240	253	271	293	199	2,812
Group	144	184	212	308	164	484	104	120	265	212	279	237	2,713
Other	856	849	895	990	1122	1268	1012	1061	1217	1136	1269	1014	12,689
Agency/Prof	1273	1172	1048	1254	963	932	1257	1234	1039	1335	1101	1072	13,680
Collateral	715	721	646	668	605	665	667	614	681	805	660	673	8,120
Scales	597	619	608	658	580	622	819	716	713	727	686	717	8,062

*Families served projections for FY 2002 were lower than projections of FY 2001 because FY 2002 projections were based on FY 2001 actual data. FY 2001 projections were based on FY 2000 rates prior to Felix mandates. See summary for detailed explanation.

**Monthly Home Visiting Contacts are difficult to project because they are based on individual family's needs rather than an expected amount.

Healthy Start Services
FY 2003
Act 259, Section 25

TABLE III: Fiscal Year 2003

	Jul-02		Aug-02		Sep-02		Oct-02		Nov-02		Dec-02		Jan-03		Feb-03		Mar-03		Apr-03		May-03		Jun-03		Total	Total
Home Visiting Clients	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Projected duplicate	Actual duplicate	Project duplicate	Actual duplicate	Projected undup	Actual undup		
Families Served	2394	2667	2415	2753	2436		2457		2478		2499		2520		2541		2562		2583		2604		2625		4,213	
Children Served	2471	2804	2492	2910	2514		2536		2557		2579		2601		2622		2644		2666		2687		2709		4,348	

	July Actual	Aug Actual	Sept Actual	Oct Actual	Nov Actual	Dec Actual	Jan Actual	Feb Actual	Mar Actual	Apr Actual	May Actual	June Actual	TOTAL Actual
Home/Outside	3801	3730											
Attempted	2031	2126											
Phone	3820	3658											
Transports	201	240											
Group	155	317											
Other	1347	1237											
Agency/Prof	1195	1227											
Collateral	787	697											
Scales	843	905											

*Monthly Home Visiting Contacts are difficult to project because they are based on individual family's needs rather than an expected amount.

Healthy Start Services
Act 259, Section 25

Home Visiting Expenditures by Month Using FAMIS Report -- FY 2001

TABLE IV													As of 6/30/01	FY 2001
Appropriation	July	August	September	October	November	December	January	February	March	April	May	June	Encumbrances	Unobligated Balance
8,881,700.00	0.00	312,174.47	275,847.07	211,499.07	408,760.14	768,947.93	222,642.72	678,666.00	768,039.17	1,006,641.16	564,827.61	34,639.57	3,569,422.45	59,592.64

Note: Appropriation=12 mos+Encumbrance+Unobligated Balance
FY 2001 contracts are all closed.
Home Visiting POS appropriation of \$8,881,700 is the sum of the base appropriation of \$4,646,913 plus the emergency appropriation of \$4,234,787.
Monthly figures may include services performed in the prior year but liquidated (paid) in FY 2001.

Home Visiting Expenditures by Month Using FAMIS Report -- FY 2002

TABLE V													As of 6/30/02	FY 2002
Appropriation	July	August	September	October	November	December	January	February	March	April	May	June	Encumbrances	Unobligated Balance
14,553,452.00	0.00	0.00	344,174.25	1,185,860.00	312,595.87	849,205.99	180,130.93	2,286,511.20	1,315,861.37	857,525.88	1,271,373.14	970,855.37	4,979,358.00	0.00

Note: Appropriation=12 mos+Encumbrance+Unobligated Balance
Some FY 2002 contracts are still outstanding.
The Home Visiting POS appropriation of \$14,553,452 is comprised of the base appropriation of \$4,646,913 and the additional biennium budget request appropriation of \$9,906,539.
In the proviso, the amount of \$10,506,539 is the sum of \$9,906,539 of the additional biennium budget request for home visiting services plus the \$600,000 for Child Development services.
We are addressing only the Home Visiting services and not the Child Development services since the proviso mentions only Home Visiting services.

Home Visiting Expenditures by Month Using Famis Report for July and August 2002 and Projected Home Visiting Expenditures by Month from September 2002 to June 2003 -- FY 2003

TABLE VI													FY 2003	
Appropriation	July	August	September	October	November	December	January	February	March	April	May	June	Encumbrances	Unobligated Balance
18,094,838.00	273,248.45	13,417.00	1,780,817.25	1,780,817.25	1,780,817.25	1,780,817.25	1,780,817.25	1,780,817.25	1,780,817.25	1,780,817.25	1,780,817.25	1,780,817.30	0.00	0.00

Note: Appropriation= July + Aug + Projected Expenditures (Sept. 2002 to June 2003)
All Home Visiting contracts have been encumbered for FY 2003
The \$18,094,838 appropriation for Home Visiting services is the sum of the base appropriation \$4,646,913 plus the additional biennium budget request of \$13,447,925.

The proviso figure of \$14,047,925 is comprised of the \$14,406,925 of the biennium budget request plus the \$600,000 in Child Development biennium budget request less the support from Early Intervention Special Fund for \$959,000.
We are addressing only the Home Visiting services and not the Child Development services since the proviso mentions only Home Visiting services.